

|   |                      |                           |            |  |
|---|----------------------|---------------------------|------------|--|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number   |                           | 10551341   |  |
|   | Filing Date          |                           | 2006-11-20 |  |
|   | First Named Inventor | RASI, Guido               |            |  |
|   | Art Unit             | 1654                      |            |  |
|   | Examiner Name        | KOSAR, Andrew D.          |            |  |
| Attorney Docket Number  |                      | SCIC-050/01US 191485 2751 |            |  |

| U.S.PATENTS       |         |               |                        |            |   |  |
|-------------------|---------|---------------|------------------------|------------|---|--|
| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|                   | 1       |               |                        |            |   |  |

If you wish to add additional U.S. Patent citation information please click the Add button.

| U.S.PATENT APPLICATION PUBLICATIONS |         |                    |                        |                  |   |  |
|-------------------------------------|---------|--------------------|------------------------|------------------|---|--|
| Examiner Initial*                   | Cite No | Publication Number | Kind Code <sup>1</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|                                     | 1       |                    |                        |                  |   |  |

If you wish to add additional U.S. Published Application citation information please click the Add button.

| FOREIGN PATENT DOCUMENTS |         |                                      |                           |                        |                  |   |  |                          |
|--------------------------|---------|--------------------------------------|---------------------------|------------------------|------------------|---|--|--------------------------|
| Examiner Initial*        | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear | T <sup>5</sup>           |
|                          | 1       | 0603305                              | EP                        | B1                     | 1997-05-14       | Alpha 1 Biomedicals Inc.                        |  | <input type="checkbox"/> |
|                          | 2       | 0687181                              | EP                        | B1                     | 2001-08-29       | Alpha 1 Biomedicals Inc.                        |  | <input type="checkbox"/> |

If you wish to add additional Foreign Patent Document citation information please click the Add button

| NON-PATENT LITERATURE DOCUMENTS |  |  |  |  |  |  |  |  |
|---------------------------------|--|--|--|--|--|--|--|--|
|---------------------------------|--|--|--|--|--|--|--|--|

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

|                        |                           |
|------------------------|---------------------------|
| Application Number     | 10551341                  |
| Filing Date            | 2006-11-20                |
| First Named Inventor   | RASI, Guido               |
| Art Unit               | 1654                      |
| Examiner Name          | KOSAR, Andrew D.          |
| Attorney Docket Number | SCIC-050/01US 191485 2751 |

| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>5</sup>           |
|--------------------|---------|---|--------------------------|
|                    | 1       | International Search Report, International Preliminary Report on Patentability and Written Opinion in PCT Application PCT/US2004/09550 mailed 10.15.04.   | <input type="checkbox"/> |
|                    | 2       | Office Action in Israel Application 171118 dated 11.19.08   | <input type="checkbox"/> |
|                    | 3       | Office Action in Japanese Application 509423-2006 mailed 03.02.10.  | <input type="checkbox"/> |
|                    | 4       | Office Action in Norwegian Application 20054912 dated 04.28.10.   | <input type="checkbox"/> |
|                    | 5       | ROILIDES, E. et al. "Tumor Necrosis Factor Alpha Enhances Antifungal Activities of Polymorphonuclear and Mononuclear Phagocytes against Aspergillus Fumigatus" Infection and Immunity, December 1998, Vol. 66, No. 12, p. 5999-6003.                            | <input type="checkbox"/> |
|                    | 6       | Supplemental European Search Report in European Application 04749495 mailed 06.02.09.   | <input type="checkbox"/> |

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.